

SUPPLIER REQUIREMENTS

The Supplier Requirements contained herein are an integral part of the Hoke Incorporated Purchase Order when incorporated by reference and shall be in addition to and not in derogation of Purchase Order requirements. Compliance with these requirements does not relieve the supplier of his responsibility for furnishing material and services to Hoke. Acceptance of and payment for material and services will be withheld pending receipt of all required acceptable data and/or documentation.

CLAUSE 1 GOVERNMENT SOURCE INSPECTION

Government Source Inspection is required prior to shipment from your plant. Upon receipt of this order, promptly notify the Government Representative who normally services your plant so that appropriate planning for Government Inspection can be accomplished. FAR Clause 52.246.2 applies.

Clause 1a GOVERNMENT REVIEW OF PERFORMANCE

During performance of this order, the Supplier's Quality Control Inspection System and Manufacturing processes are subject to review, verification and analysis by authorized Government Representatives. Government Inspection or release of material/product prior to shipment is not required unless the Supplier is notified otherwise. The Supplier shall provide a copy of the Purchase Order to the Government Representative upon their request.

CLAUSE 2 QUALITY PROGRAM AND INSPECTION REQUIREMENTS

2a	NCA 3800 *, Section III (ref 24, 26)	2g	MIL-STD-45662/ISO-10012, latest edition
2b	MIL-I-45208A	2i	AD MERKBLATT WO/TRD-100 (optional)
2c	ISO-9001:2000	2j	EN 10088-1, As Applicable
2d	10CFR Part 21, latest edition	2k	Copper Nickel (Monel) MIL-E-21562
2e	10CFR Part 50, Appendix B, latest edition	2l	SS316L/316L-MIL-E-19933
2f	ANSI 45.2, latest edition		

(*) Note: When clause 2a is invoked, the material manufacturer shall certify the material to the applicable ASME Boiler & Pressure Vessel Code; Section II; Part A, B or C material specification.

CLAUSE 3 CERTIFICATION

All certification must include the following as a minimum:

1. Identify the company submitting the certification.
2. Identify the Hoke PO No., Item No., Hoke Part Number, and Quantity in that particular shipment.
3. The date certification is issued.
4. Signature or initials of a duly authorized company representative identifying the person by name and title within the Company.
5. In the event that revision is made to any certification, the revision must be noted in a conspicuous place and dated.
6. Other requirements may be as specified by Purchase Order.

NOTE 1: At least one (1) copy of certification must be submitted with shipment

NOTE 2: All invoked procedures, specifications and standards shall be to latest revision or issue level unless otherwise specified.

Clause 3a Certificate of Compliance shall accompany each shipment and include a statement that all Purchase Order requirements have been met. The Certification may also reference compliance with the applicable specification and drawing revision level, and that supporting data and material certification are available upon request. When clause 24 or 26 is invoked, the Certification shall state compliance with the supplier's Quality Manual Revision and Date as approved by Hoke Inc.

Clause 3b The original mill Certification of Raw Material must accompany each shipment and denote the actual chemical and physical test results for each lot and mill heat number supplied. The certification must

reference an **applicable material specification and revision level** when stated in the Purchase Order.

- Clause 3c** Certification that material conforms to specification requirements and includes cure date for all rubber, synthetic or natural.
- Clause 3e** Certifications for Special Processes (heat treating, nondestructive testing, finishes, etc.) must be submitted with shipment. This is in addition to any other required certification.
- Clause 3f** Certification to be in accordance with EN10204/3.1b.
- Clause 3j** Certification to be in accordance with EN10204/2.2.
- Clause 3k** SS316 Material to be 0.03% Carbon MAX.
- Clause 3l** Material to be in compliance with EN 10088-1.¹
- Clause 3m** For commercial brass material the original mill material test report or an independent material test analysis certificate shall be provided with the shipment of material. This test certificate shall report the chemical and physical actual test results, or the chemical lot average test results only for the heat or lot of material provided, certify the material to meet the applicable material specification, indicate the company that performed the test, and be signed and titled by a duly authorized company representative

CLAUSE 4 **TEST/INSPECTION DATA**

- Clause 4a** Inspection and/or test data with actual readings for all quantitative measurements must accompany each shipment and be identified by part and serial numbers as required.
- Clause 4b** Inspection and/or test data required by Para. 4a shall be submitted to Hoke, Inc. for approval prior to release for shipment.

CLAUSE 5 **HOKE SOURCE INSPECTION**

Hoke Source Inspection/Test is required prior to shipment from your plant. Notify the Hoke Purchasing Department 72 hours in advance when material is ready. Prime Contractor personnel shall have the option to accompany Hoke personnel.

CLAUSE 6 **FIRST ARTICLE INSPECTION**

Hoke First Article Inspection/Test is required prior to shipment from your plant. Notify Hoke Purchasing Department 72 hours in advance when material is ready. Prime Contractor personnel shall have the option to accompany Hoke personnel.

CLAUSE 7 **TEST ANALYSIS SPECIMEN**

The supplier shall submit one test specimen of material used in the manufacture of parts or per heat lot of material used for chemical / physical testing as specified by Hoke.

- Clause 7a (Optional)** Non-TÜV approved suppliers of Stainless Steel or Brass Forgings shall be required to submit a pre-forged and a 1/4 stamp coupon with each heat lot of material for physical testing and verification, to meet AD-MERKBLATT WO Requirements, when this clause is specified as applicable on the Purchase Order.

CLAUSE 8 FORGING REQUIREMENTS

Certifications for material and nondestructive test reports must accompany each shipment of forgings and original mill material certification and must contain the following as a minimum:

REQUIRED DATA:

- | | |
|--|---|
| 1. One (1) Chemical Analysis (Mill) | *4. Precipitated Carbide Statement (Microstructure) |
| 2. Two (2) Physical Analyses (Forging) | *5. Hot Forged and Water Quenched Statement |
| *3. Macrostructure of Forgings | 6. Carbon levels not exceeding 0.03% (316SST/304SST). |

*** NOT REQUIRED FOR ANY FORGED PRODUCTS PREVIOUSLY APPROVED**

NOTE: Items 1-5 are required for first time product submissions. All succeeding procurements shall contain items 1, 2 & 6.

NOTE: For item 2, only one physical analysis is required for brass forgings unless otherwise required by material specification.

CLAUSE 9 MACHINED PARTS

Parts covered by this Purchase Order must be free of burrs, ragged edges and contaminants when viewed under 10X magnification and be in conformance with Hoke workmanship standards.

CLAUSE 10 (Optional) QUALITY PLAN

The supplier shall submit to Hoke a Quality Plan for approval prior to the start manufacturing. The plan shall identify the Inspection Operations and controls from procurement through shipment and should reference applicable supplier operations.

CLAUSE 11 GOVERNMENT PROPERTY

All Government Property received and used in the performance of Hoke Purchase Order requirements shall be processed, controlled, and maintained in accordance with Appendix B of the FAR.

CLAUSE 12 TRACEABILITY

Parts and assemblies manufactured must be traceable to material, special process certification and Inspection/Test data throughout the entire manufacturing cycle.

CLAUSE 13 RECORDS

Records of inspection, test and other Quality Assurance activities shall be retained for a minimum of 3 years and be filed in a manner that will allow access within 24 hours.

CLAUSE 14 PROCESSING OF NON-CONFORMITIES

The supplier does not have the authority to perform Material Review on any supplies that do not conform to contract requirements. Non-conforming supplies must be reported to Hoke Purchasing. Under no circumstances shall supplies be shipped without prior disposition from Hoke Quality Assurance.

CLAUSE 15 HOKE FURNISHED MATERIAL

The supplier shall visually inspect Hoke furnished material for accountability and damage from shipment and notify the buyer immediately of any non-conformance. The supplier shall certify on the Certification of Compliance as follows:

"The material used for this order is the material furnished by Hoke and no unauthorized substitutions have been made."

CLAUSE 16 FURNISHED GAGES, INSTRUMENTS, AND/OR SPECIAL TOOLING

It is the supplier's responsibility for the accountability and safe keeping of all Hoke furnished gages, instruments, and tooling, while in their possession.

CLAUSE 17 FRAUD OR FALSIFICATION

CLAUSE 17a This Purchase Order and activities hereunder are within the jurisdiction of the Department of Energy and/or the Department of the Navy. Any knowing and willful act to falsify, conceal or alter a material fact, or any false, fraudulent or fictitious statement or representation in connection with the performance of work under this order may be punishable in accordance with applicable Federal Statutes.

CLAUSE 17b The seller agrees that all employees engaged in the performance of this Purchase Order shall be, if they have not been previously, informed in writing prior to their commencing performance of work under this order that there is a risk of Federal criminal penalties associated with any falsification, concealment or misrepresentation in connection with the performance of work under this order.

CLAUSE 18 SUBCONTRACTING

No subcontracting is permitted on this order without prior notification and approval by change order from Hoke, Inc.

CLAUSE 19 RIGHTS OF ACCESS

For the purpose of inspection and/or expediting, the seller shall give the purchaser free access to his facilities. Purchaser reserves the right to be accompanied by the representative of any regulatory, government or licensing body, and further reserves the right to witness, verify and audit all phases of the design, inspection, manufacturing, testing, and documentation process as necessary to ensure compliance with purchase documents.

CLAUSE 20 MERCURY FREE STATEMENT

Certifications must include a "Mercury Free Statement". When clause 2a or 2b is invoked, a Mercury Free certification must be provided from each organization that received the material.

CLAUSE 21 REPAIR WELDING

No welding or repair welding shall be performed without Hoke's prior approval.

CLAUSE 22 MANUAL CHANGES

Suppliers that are NCA-3800 qualified by Hoke shall report Quality Manual Program changes to Hoke Quality Assurance for acceptance and approval prior to implementation.

CLAUSE 23 MATERIAL SPECIFICATION, HMS-1

Hoke Material Specification, HMS-1, Revision V, dated 10/14/08, attached or currently in suppliers' possession, shall remain valid until revoked, canceled, or withdrawn by Hoke, Inc.

CLAUSE 24 QUALITY MANUAL PROGRAM STATEMENT – SCHMOLZ + BICKENBACH USA INC., GREER, SC

Schmolz + Bickenbach USA, Inc. shall provide Certification of Compliance (CoC) for the material supplied against this order identifying the Hoke PO number and the manufacturer's Mill Heat number stating "The material supplied against this order complies with all Hoke Purchase Order requirements and is supplied in accordance with Schmolz + Bickenbach USA, Inc. Quality Manual Revision E, Dated April 8, 2009 as approved to NCA-3800 by Hoke Inc." or previous Quality Manual Revision and Date approved by Hoke Inc.

- Hexagonal Material shall be manufactured by UGITECH Italia S. r. l., Schmolz + Bickenbach with Material Certification (CMTR) to Quality Manual Edition 5, Revision 1, Dated 1/22/09 (or previous Quality Manual Edition, Revision and Date approved by Hoke Inc.) and with attached Material Certification (CMTR) from UGITECH Groupe, Schmolz + Bickenbach to Quality Manual Revision C, Dated 11/11/06 (or previous Quality Manual Revision and Date approved by Hoke Inc.), approved to NCA-3800 by Hoke Inc.
- Round Material shall be manufactured by UGITECH Groupe, Schmolz + Bickenbach with Material Certification (CMTR) to Quality Manual Revision C, Dated 11/11/06 (or previous Quality Manual Revision and Date approved by Hoke Inc.), approved to NCA-3800 by Hoke Inc.

- Material shall only be supplied from Schmolz + Bickenbach USA, Inc., Greer, SC Distribution Center approved by Hoke Inc.

Any revision made to the identified and approved Quality Manual Programs above shall be submitted to the Director QA, Hoke Inc. for review and approval to NCA-3800 Code requirements prior to implementation (ref clause 22).

CLAUSE 25 MATERIAL CERTIFICATION TO ELECTRIC BOAT SPECIFICATION EB2P756 – SPECIFICATION EFFECTIVITY INDEX

The material supplied for this order shall be certified to the latest approved material specification revision as listed in Electric Boat Specification EB2P756. The material supplier shall log onto the Electric Boat website (www.gdeb.com), click on Suppliers, Supplier Order Info., then find and click on the latest update of EB2P756 Spec Effectivity Index. Read the text instruction that is shown at the beginning of the specification under items A) and C).

The material certification for the order shall be certified by the material manufacturer to the latest listed revision of the material specification with two exceptions:

1. When the latest approved revision and all earlier listed revisions back to the revision to which the material is certified are listed with an interchangeability code of '*' (asterisk), then the material specification revision to which the material is certified shall be acceptable. (con't)
2. When a specification is not listed, then the material shall be certified to any issued revision of the material specification.

CLAUSE 26 QUALITY MANUAL PROGRAM STATEMENT – WYMAN GORDON FORGINGS INC.

Wyman Gordon Forgings Inc. shall provide Certification of Compliance (CoC) for the material supplied against this order identifying the Hoke PO number, Mill Heat number and Wyman Gordon Heat number stating that the material supplied against this order complies with all Hoke Purchase Order and Drawing requirements and is supplied in accordance with Wyman Gordon Quality Manual Revision F, Dated May 13, 2008 (or previous Quality Manual Revision and Date approved by Hoke Inc.). Material and subcontracted services shall only be supplied from Wyman Gordon NCA-3800 qualified and approved suppliers or those that are qualified by the ASME.

Any revision made to the approved Quality Manual Revision and Date shall be submitted to the Director QA, Hoke Inc. for review and approval to NCA-3800 Code requirements prior to implementation (ref clause 22).



APPROVED BY: _____
W. Krause
Quality Assurance Manager/Director

DATE: April 27, 2009

FOOT NOTES:

¹- Not Applicable on Market Spot Buys of Raw Material
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